

# Fair Use

Expression Copyright

Eric E. Johnson ericejohnson.com



#### 17 U.S.C. § 106

Subject to sections 107 through 122, the owner of copyright under this title has the exclusive rights to do and to authorize any of the following:

- (1) to reproduce the copyrighted work in copies or phonorecords;
- (2) to prepare derivative works based upon the copyrighted work;
- (3) to <u>distribute</u> copies or phonorecords of the copyrighted work to the public by sale or other transfer of ownership, or by rental, lease, or lending;
- (4) in the case of literary, musical, dramatic, and choreographic works, pantomimes, and motion pictures and other audiovisual works, to <u>perform</u> the copyrighted work <u>publicly</u>;
- (5) in the case of literary, musical, dramatic, and choreographic works, pantomimes, and pictorial, graphic, or sculptural works, including the individual images of a motion picture or other audiovisual work, to display the copyrighted work publicly; and
- (6) in the case of sound recordings, to <u>perform</u> the copyrighted work <u>publicly by means of a digital audio transmission</u>.

"The limited scope of the copyright holder's statutory monopoly ... reflects a balance of competing claims upon the public interest: Creative work is to be encouraged and rewarded, but private motivation must ultimately serve the cause of promoting broad public availability of literature, music, and the other arts."

Twentieth Century Music Corp. v. Aiken, 422 U.S. 151, 156 (1975)

#### 17 U.S.C. § 107

Limitations on exclusive rights: Fair use

"the fair use of a copyrighted work, including such use by reproduction in copies or phonorecords or by any other means specified by that section, for purposes such as criticism, comment, news reporting, teaching ..., scholarship, or research, is not an infringement of copyright."

#### 17 U.S.C. § 107

Limitations on exclusive rights: Fair use

Fair use factors "shall include":

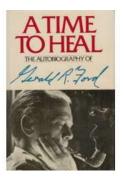
- "(1) the purpose and character of the use, including whether such use is of a commercial nature or is for nonprofit educational purposes;
- (2) the nature of the copyrighted work;
- (3) the amount and substantiality of the portion used in relation to the copyrighted work as a whole; and
- (4) the effect of the use upon the potential market for or value of the copyrighted work."

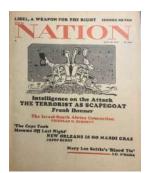
#### On fair use:

"The inquiry is necessarily a flexible one, and the endless variety of situations that may arise precludes the formulation of exact rules."

Sony Corp. of America v. Universal City Studios, Inc. 464 U.S. 417, 479-80 (1984)

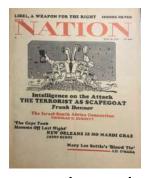
## Harper & Row v. Nation Enters. (U.S. 1985)





# Harper & Row v. Nation Enters. (U.S. 1985)





The Nation magazine got an unauthorized copy of the unpublished, forthcoming memoirs and used 300-400 words of verbatim quotes from the manuscript. Time magazine canceled its excerpt publication agreement with Harper & Row.

# Some check-your-understanding questions on Campbell v. Acuff-Rose and fair use

Does it matter if a work is "transformative"? *Yes. A lot.* 

Who is helped if the  $\Delta$ 's work is transformative? *The defendant.* 

What are the four fair use factors? [Listed in book & subsequent slide ...]

#### 17 U.S.C. § 107

Limitations on exclusive rights: Fair use

Fair use factors "shall include":

- "(1) the purpose and character of the use, including whether such use is of a commercial nature or is for nonprofit educational purposes;
- (2) the nature of the copyrighted work;
- (3) the amount and substantiality of the portion used in relation to the copyrighted work as a whole; and
- (4) the effect of the use upon the potential market for or value of the copyrighted work."

# Some check-your-understanding questions on Campbell v. Acuff-Rose and fair use

Does it matter if a work is "transformative"?

Yes. A lot.

Who is helped if the  $\Delta$ 's work is transformative? The defendant.

What are the four fair use factors?

[Listed in book & subsequent slide ...]

Are they from statute? From case law? Both? Both.

# Some check-your-understanding questions on Campbell v. Acuff-Rose and fair use

Must  $\prod$  ask for permission first, before being to able to successfully raise fair use?

No.

If  $\prod$  asks, and is denied, can fair use still work? Yes. That's what happened in this case.

# Realotheticals

# Elsmere Music, Inc. v. NBC (S.D.N.Y. 1980)





"I Love Sodom," a "Saturday Night Live" television parody of "I Love New York," was held to be a

#### Elsmere Music, Inc. v. NBC (S.D.N.Y. 1980)



[This is discussed within the Campbell case ...] "I Love Sodom," a "Saturday Night Live" television parody of "I Love New York," was held to be a fair use. (aff'd by 2d Cir, cited with approval by SCOTUS)

# Steinberg v. Columbia Pictures (S.D.N.Y. 1987)



# Steinberg v. Columbia Pictures (S.D.N.Y. 1987)



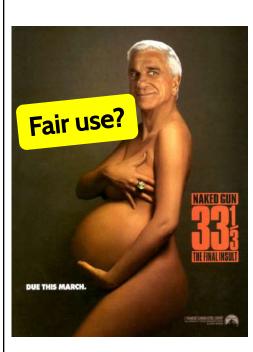
#### Steinberg v. Columbia Pictures (S.D.N.Y. 1987)

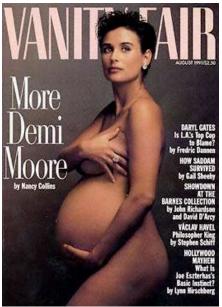


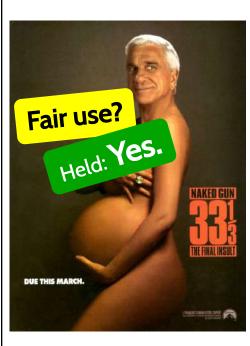


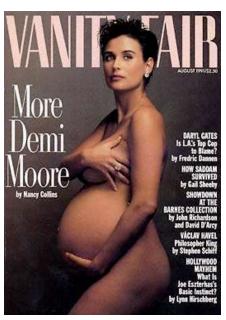
# Fair use? Held: No.

"I find meritless defendants' assertion that, to the extent that the "Moscow" poster evokes Steinberg's, that evocation is justified under the parody branch of the "fair use" doctrine ... The poster merely borrowed numerous elements from Steinberg to create an appealing advertisement to promote an unrelated commercial product, the movie. No parody of the illustration is involved, and defendants are not entitled to the protection of the parody branch of the fair use doctrine. The other factors mandated by 17 U.S.C. § 107 do nothing to mitigate this determination. The copyrighted work at issue is an artistic creation, 17 U.S.C. § 107(2), a very substantial portion of which was appropriated in the defendants' work, 17 U.S.C. § 107(3). As for the value of the copyrighted work, 17 U.S.C. § 107(4), plaintiff submitted testimony to the court to show that his reputation was injured by having the public believe that he voluntarily lent his work to a profitmaking enterprise."









#### Leibovitz v. Paramount Pictures (2d Cir. 1998)

Fair use?

Held: Yes.





[F1] "Plainly, the ad adds something new and qualifies as a 'transformative' work. ... Because the smirking face of Nielsen contrasts so strikingly with the serious expression on the face of Moore, the ad may reasonably be perceived as commenting on the seriousness, even the pretentiousness, of the original. The contrast achieves the effect of ridicule that the Court recognized in *Campbell* would serve as a sufficient 'comment' to tip the first factor in a parodist's favor."

[F2] "Though Paramount concedes the obvious point that Leibovitz's photograph exhibited significant creative expression, *Campbell* instructs that the creative nature of an original will normally not provide much help in determining whether a parody of the original is fair use. The second factor therefore favors Leibovitz, but the weight attributed to it in this case is slight."

#### Leibovitz v. Paramount Pictures (2d Cir. 1998)

Fair use?

Held: Yes.





[F3] "The copying of these elements, carried out to an extreme degree ... took more of the Leibovitz photograph than was minimally necessary to conjure it up, but Campbell instructs that a parodist's copying of more of an original than is necessary to conjure it up will not necessarily tip the third factor against fair use. ... [T]he reasonableness of taking additional aspects of the original depends on the extent to which the 'overriding purpose and character' of the copy 'is to parody the original,' and 'the likelihood that the parody may serve as a market substitute ... '. That approach leaves the third factor with little, if any, weight against fair use so long as the first and fourth factors favor the parodist. Since those factors favor fair use in this case, the third factor does not help Leibovitz[.]"

#### Leibovitz v. Paramount Pictures (2d Cir. 1998)

Fair use?





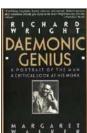


[F4] "Leibovitz all but concedes that the Paramount photograph did not interfere with any potential market for her photograph or for derivative works based upon it. ... Her only argument for actual market harm is that the defendant has deprived her of a licensing fee ... [b]ut she is not entitled to a licensing fee for a work that otherwise qualifies for the fair use defense as a parody."

[Aggregate] "The aggregate assessment necessary for an ultimate decision might be difficult in some cases if the relevant factors weighed heavily on opposite sides of the balance. However, in light of Campbell, with its significant depreciation of the second factor where parodies commenting on an original are concerned, we are satisfied that the balance here markedly favors the defendant."

Wright v. Warner Books, Inc. 953 F.2d 731 (2d Cir. 1991)

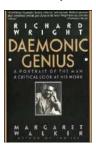




Biographer quoted from 6 unpublished letters and 10 journal entries.

# Wright v. Warner Books, Inc. 953 F.2d 731 (2d Cir. 1991)



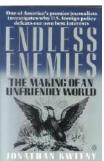


Biographer quoted from 6 unpublished letters and 10 journal entries.

Fair use. *Keys:* Use was informational, and less than 1% was taken.

#### Love v. Kwitny 772 F. Supp. 1367 (S.D.N.Y. 1989)

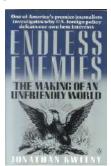




Author copied more than 50% of unpublished manuscript to prove a person's involvement in Iranian government overthrow.

#### Love v. Kwitny 772 F. Supp. 1367 (S.D.N.Y. 1989)

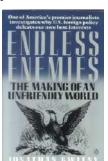




Author copied more than 50% of unpublished manuscript to prove a person's involvement in Iranian government overthrow.

#### Love v. Kwitny 772 F. Supp. 1367 (S.D.N.Y. 1989)





Author copied more than 50% of unpublished manuscript to prove a person's involvement in Iranian government overthrow.

Not a fair use. Keys: Amount taken and unpublished status.

# Roy Export Co. of Vaduz v. CBS 672 F.2d 1095 (2d Cir. 1982)





75 seconds of a 72 minute film were used in TV news report on Charlie Chaplin's death.

# Roy Export Co. of Vaduz v. CBS 672 F.2d 1095 (2d Cir. 1982)





75 seconds of a 72 minute film were used in TV news report on Charlie Chaplin's death.

Not a fair use. *Keys:* The court found the portion taken substantial and the "heart" of the film.

## Perfect 10 v. Google





Google's image search displayed thumbnail size versions of images from website of men's magazine featuring photographs of nude/topless women. Thumbnails linked to the website, but visiting website was not necessary to access the thumbnail images.

# Perfect 10 v. Google





Not fair use. Keys: Use is "consumptive" rather than "transformative," and "likely does harm the potential market for the downloading of P10's reduced-size images onto cell phones."

Perfect 10, Inc. v. Google, 416 F.Supp.2d 828 (C.D. Cal. 2006)



rather potential market for the downloading of P10's reduced-size images onto cell phones."

Perfect 10, Inc. v. Google, 416 F.Supp.2d 828 (C.D. Cal. 2006)

# Perfect 10 v. Amazon [and Google]



(9th Cir. 2007)

Held: Yes.

#### Fair use.

"Google's use of thumbnails is highly transformative. Although an image may have been created originally to serve an entertainment, aesthetic, or informative function, a search engine transforms the image into a pointer ... directing a user to a source of information."

Perfect 10, Inc. v. Amazon. com, Inc. [and Google], 508 F. 3d 1146 (9th. Cir. 2007)

# Perfect 10 v. Amazon [and Google]



(9th Cir. 2007)

Held: **Yes.** 

• • •

"Just as a 'parody has an obvious claim to transformative value' because 'it can provide social benefit, by shedding light on an earlier work, and, in the process, creating a new one,' *Campbell*, a search engine provides social benefit by incorporating an original work into a new work, namely, an electronic reference tool."

Perfect 10, Inc. v. Amazon. com, Inc. [and Google], 508 F. 3d 1146 (9th. Cir. 2007)

# Ringgold v. Black Entertainment Television

(S.D.N.Y. 1996)







A poster of a quilt depicting a church picnic was used as part of the set decoration of a church on the TV sitcom "ROC." The poster was visible in frame, in the background, nine times for total of 26.75 seconds.

# Ringgold v. Black Entertainment Television (S.D.N.Y. 1996)





A poster of a quilt depicting a church picnic was used as part of the set decoration of a church on the TV sitcom "ROC." The poster was visible in frame, in the background, nine times for total of 26.75 seconds.

# Ringgold v. BET (S.D.N.Y. 1996)



[F1] "The commercial nature of the television program which tends to weigh against a finding of fair use, is undercut by the fact that the defendant's did not use the Poster to encourage viewers to watch the Episode ... "





[F2] "There is no dispute that the Art is creative, imaginative and original and, therefore, this factor weighs in favor of the plaintiff."

# Ringgold v. BET (S.D.N.Y. 1996)



[F3] "In a majority of the shots only the bottom right hand corner of the Art is visible. In the few shots where a substantial portion of the Poster was shown, the Art was not in focus. Additionally, the Poster was used as part of the set's background and was visible for only seconds at a time. The Art, therefore, was not readily discernible to one viewing the Episode in anticipation of the appearance of the Poster and was even less recognizable to the average viewer whose attention would not have been focused on the scene's background. ... "



# Ringgold v. BET (S.D.N.Y. 1996)



[F4] "[T]he defendants use of the Poster as the backdrop of a television set cannot be considered a substitute for the Poster or the [quilt artwork itself]."

[Aggregate:] "[D]efendants' fleeting, incidental and reasonable use of the Poster constitutes a fair use."





# Ringgold v. BET (S.D.N.Y. 1996)



[F4] "[T]he defendants use of the Poster as the backdrop of a television set cannot be considered a subthe [quilt ar

EAGUERSED Leeting, Lote use of the Lot a fair use."

Fair u

Held: **Yes.** 

# Ringgold v. BET (2d Cir. 1997)



[F1] "In no sense is the defendants' use "
'transformative' ... The defendants have
used Ringgold's work for precisely a central
purpose for which it was created—to be
decorative ... the decorative effect is
plainly evident. Indeed, the poster is the
only decorative artwork visible in the
church hall scene. The defendants have
used the poster to decorate their set to
make it more attractive to television
viewers precisely as a poster purchaser
would use it to decorate a home."

Fair use?

Held: No.

### Ringgold v. BET (2d Cir. 1997)



[F2] "weighs in [plaintiff's] favor because of the creative nature of her work."

[F3] "Even if the third factor favors the defendants, courts considering the fair use defense in the context of visual works copied or displayed in other visual works must be careful not to permit this factor too easily to tip the aggregate fair use assessment in favor of those whom the other three factors do not favor."





# Ringgold v. BET (2d Cir. 1997)



[F4] "Ringgold contends that there is a potential market for licensing her story quilts, and stated in an affidavit that in 1995 she earned \$31,500 from licensing her various artworks and that she is often asked to license her work for films and television. Specifically, she avers that in 1992 she was asked to license use of the 'Church Picnic' poster by the producers of another TV sitcom and declined because of an inadequate price and inadequate artist's credit."





## Ringgold v. BET (2d Cir. 1997)



[F4 continued] "We have recognized the danger of circularity in considering whether the loss of potential licensing revenue should weight the fourth factor in favor of a plaintiff. ... [But the] fourth factor will favor her if she can show a 'traditional, reasonable, or likely to be developed' market for licensing her work as set decoration."





#### Ringgold v. Black Entertainment Television





Poster of a quilt depicting a church picnic was used as part of the set of a church on TV sitcom "ROC." Poster was visible in frame, in background, nine times for total of 26.75 second in background.

# **IMPORTANT NOTE:**

confusing ≠ confused

Keep this in mind: If you find it all confusing, it doesn't mean you are confused; it's actually a leading indicator that you understand.